



Research Compliance

INTRODUCTION

From time to time, Booz Allen conducts research on behalf of our clients or as part of internal research and development efforts. Booz Allen is committed to the ethical conduct of research and the protection of the rights and interests of participants or “subjects” of research when those subjects are humans.

The purpose of this policy is to establish rules for Human Subjects Research (HSR), set forth the requirements and responsibilities with identifying and disclosing financial conflicts of interests when Booz Allen people participate in research funded by the U.S. Public Health Service (PHS) or the National Science Foundation (NSF), and define the standards for prevention, detection, and investigation of Research Misconduct.

SUMMARY OF POLICY

Booz Allen’s Research Compliance Policy covers all the following Research Conflicts of Interest content in detail:

- Related policies at the firm that employees should read in connection with this policy
- Scope of who the policy applies to
- Definitions of which Booz Allen people are considered Investigators and what FCOIs must be disclosed
- Explanation of the Research Conflicts of Interest Disclosure Process
- Outline of the requirements and responsibilities of investigators and the Ethics & Compliance Team responsible for reviewing disclosures and instituting a plan for the appropriate management of any potential FCOI relevant to federally-funded research
- Actions that will be taken when violations of the policy have been identified, employee’s duty to report violations of the policy, and the firm’s zero tolerance for retaliation against employees who raise a good faith legal or ethical concern
- Points of contact and additional resources